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Sector #3

Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Small Entity)	Docket No. ACT.010
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In Re Application Of: **Dan A. STEINBERG**

Serial No. 09/862,593	Filing Date 05/23/01	Examiner UNKNOWN	Group Art Unit 1731
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Invention: **SINGLE MASK METHOD FOR MAKING TO WAVEGUIDES AND MICROMACHINED FEATURES**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
7/18/2001
Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- _____ verified small entity declaration(s)
 - is/are attached.
 - was/were filed on _____
- A separate request for refund.
- Other (list):

ASSERTION OF SMALL ENTITY STATUS

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
ACT.010

In Re Application Of: Dan A. STEINBERG

Serial No.	Filing Date	Examiner	Group Art Unit
09/862,593	05/23/01	UNKNOWN	1731

Invention: SINGLE MASK METHOD FOR MAKING IO WAVEGUIDES AND MICROMACHINED FEATURES

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

- Completion of application fees as calculated below:

Utility application filing fee \$355.00

Design application filing fee

Total number of independent claims = **5** **\$80.00**

Total number of claims = **30** **\$90.00**

Multiple dependent claims

Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

Petition and fee for filing by other than all the inventors or a person not the inventor

Fee for processing an application filed with a non-English language specification

Fee for processing and retention of application

Total completion of application fees \$590.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month Two months Three months Four months Five months

from: _____ **until:** _____

Date

Date _____

Total time extension fees

Total fees due

\$590.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
ACT.010

In Re Application Of: **Dan A. STEINBERG**

Serial No.
09/862,593

Filing Date
05/23/01

Examiner
UNKNOWN

Group Art Unit
1731

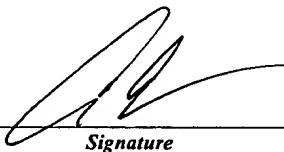
Invention: **SINGLE MASK METHOD FOR MAKING IO WAVEGUIDES AND MICROMACHINED FEATURES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$590.00** is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0238**
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0238**
A duplicate copy of this sheet is enclosed.



Signature

Dated: **AUGUST 20, 2001**

**ADAM C. VOLENTINE
REG. NO. 33289**

**JONES VOLENTINE, P.L.L.C.
12200 SUNRISE VALLEY DRIVE, SUITE 150
RESTON, VA 20191**

TEL. NO. (703) 715-0870

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

#3



O I P E J C I S
AUG 20 2001
P A T E N T & T R A D E M A R K O F F I C E

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/862,593	05/23/2001	Dan A. Steinberg	ACT.004

CONFIRMATION NO. 8379
FORMALITIES LETTER

JONES VALENTINE, PLLC
12200 SUNRISE VALLEY DRIVE, SUITE 150
RESTON, VA 20191



OC00000006313001

Date Mailed: 07/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$340.
 - \$180 for 10 total claims over 20.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1180.**

*A copy of this notice **MUST** be returned with the reply.*

Shane
Customer Service Center

Initial Patent Examination Division (703) 308-1202

08/22/2001 MBELETE1 00000076 500230 09862593 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:201	355.00 CH
02 FC:202	80.00 CH
03 FC:203	90.00 CH
04 FC:205	65.00 CH

08/22/2001 MBELETE1 00000076 09862593
01 FC:201 355.00 CH
02 FC:202 80.00 CH
03 FC:203 90.00 CH
04 FC:205 65.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Patent Application of

Dan A. Steinberg

Group Art Unit: 1731

Serial No. 09/862,593

Attorney Docket No. ACT.010

Filed: May 23, 2001

Title: SINGLE MASK METHOD FOR MAKING IO WAVEGUIDES AND
MICROMACHINED FEATURES

ASSERTION OF SMALL ENTITY STATUS

Honorable Commissioner For Patents
Washington, D.C. 20231

Sir:

Applicant, by the undersigned, hereby asserts that the above-identified application is entitled to "small entity status" pursuant to the provisions of 37 C.F.R. §1.27.

Respectfully submitted,

JONES VOLENTINE, PLLC



Adam C. Volentine
Reg. No. 33,289

Date: August 20, 2001

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